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government information

### New public information

### On the issuance of "Interim Measures third party verification of carbon emissions in Shanghai," the

Shanghai Development and Reform Commission, Central Information [2014] No. 5

The relevant units:

According to "Shanghai carbon management pilot scheme" (Shanghai Office Ordinance on the 10th) the relevant regulations and requirements, our commission formulated the "Interim Measures for third party verification of carbon emissions in Shanghai", is to be issued.

Notice is hereby given. Attachment: "Interim Measures third party verification of emissions of carbon in Shanghai"

Shanghai Municipal Development and Reform  
Commission,

January 10, 2014 Attachments:

### Shanghai, third party verification of carbon emissions Interim Measures

#### Article 1 (Purpose and Basis)

According to "Shanghai carbon management pilot scheme" and "Shanghai Municipal People's Government to carry out the implementation of views on the city's carbon emissions trading pilot" and other relevant regulations and requirements for the establishment of an open, fair and impartial third party verification of carbon emissions management system, development of this approach.

#### Article (Scope)

into carbon emission quota management unit emissions of carbon city administrative verification activities, as well as management and verification agency inspectors, the application of this approach.

#### Article (define)

The term third party verification of carbon emissions (hereinafter referred to as "verification mechanism"), refers to a certain qualifications and abilities, according to the verification rules, technical standards and procedural requirements of the city into the quota Carbon emissions reporting verification report submitted by the management of the unit to carry out verification issued by an independent and assume responsibility for the professional institutions.

#### Article (administration)

Municipal Development and Reform Commission is responsible for verifying the record management agencies to develop verification rules, establish verification agency to the public's list, and verification agency, and its carbon emissions verification inspectors to supervise the work.

#### Article (reporting conditions)

principles of organization Municipal Development and Reform Commission is responsible for receiving verification agency filing the application, and in accordance with the public, voluntary reporting. The following organizations can apply for registration:

(a) with the following conditions, registered in the administrative area of the city, and has an independent legal personality of enterprises:

1, corporate registered capital of not less than 10 million yuan, the cause of start-up capital is not legal less than 5 million yuan, with a certain degree of economic solvency;

2, with a fixed place needed to carry out business activities, facilities, working conditions, and the stability of the financial support and sound financial system;

3, institution or person in charge of the main technical nearly three years of preparation under the state or city carbon emissions accounting methods, greenhouse gas inventories, carbon emissions status initial pilot enterprises in areas such as project reports inventory total at least three; or nearly 3 years in the field of greenhouse gas control and manage the completion of at least 3 national or city municipal issues of energy savings audit city agencies, energy accrediting bodies;

4, with six or more inspectors;

5, have a sound internal verification work-related quality management system, including: a clear management and tasks, responsibilities and authority of inspectors, clearly at least one senior management as the person in charge of verification techniques, clear security management, verification of personnel management, verification activities, management, verification document management, appeals, complaints and dispute handling, do not meet and rectification and other measures to deal with the relevant provisions.

(B) by the National Development and Reform Commission filed a voluntary greenhouse gas emission reduction projects for validation and verification bodies, and registered in the city with branches or units in the city, nearly three years to complete the CDM projects in the country, voluntary reduction or ISO14064 project validation and verification of greenhouse gas verification and other business projects in total at least 10, and the completion of the project areas in the city less than two, and in the city there are six or more inspectors.

Article VI (inspectors conditions)

inspectors shall meet the following conditions:

full-time staff (a) verification agencies;

(b) have a university degree or above;

(three) with state and city carbon emissions accounting methods of preparation, pilot corporate carbon emissions inventory status of the initial report, greenhouse gas inventories, CDM project validation and verification, validation and verification of voluntary emission reduction projects, ISO14064 corporate greenhouse gas verification, saving energy audits, energy audits or energy use in one or more of the audit report in the field of consulting or audit experience;

(four) individuals with good credit, no illegal track record.

Article VII (application materials)

organization applying for registration shall submit application materials verification agencies and inspectors and official seal.

Materials (a) verification agencies include:

1, verification agency filing the application;

2, business license, organization code certificate and tax registration certificate (institutions to provide legal qualification documents), the legal representative ID Card Copy pieces;

3, the latest audited financial statements;

4, results lists and related documents nearly three years in charge of the institution or person related to the main technical verifiable;

5, organization chart, a list of inspectors and their social insurance payment certificate, internal quality management systems;

6, declaration of conformity, including those whose business in line with relevant laws and regulations of the People's Republic statement, do not engage in a conflict of interest declaration and verification activities, confidential statement of commitment, the authenticity of the application materials declarations.

Material (two) inspectors include:

1, the basic situation of the inspectors table;

2, ID card copy;

3, the highest academic degree certificate;

4, with the national city carbon emissions accounting methods of preparation and pilot corporate carbon emissions The initial inventory status report, greenhouse gas inventories, CDM project validation and verification, validation and verification of voluntary emission reduction projects, ISO14064 corporate greenhouse gas verification, saving energy audits, energy audits or energy utilization reports consulting or audit experience in areas such as audit evidence .

Article VIII (for the record verification agencies and publishing)

Municipal Development and Reform Commission, after receiving the application materials, experts on the reporting unit's size, performance, capacity and technology, such as audit and evaluation to determine the list of organizations filing, and be announced. Included in the report on the city's carbon emissions quota management units submitted by third party verification, the record shall be selected from the list of institutions.

Article IX (sub-areas management)

Directory of the city of verification conducted in accordance with the following areas of category management:

(a) Ferrous metal smelting and rolling processing;

(b) of electricity and heat production;

(c) petroleum processing and coking, chemical raw materials and Chemical products manufacturing;

(d) Transportation;

(e) the construction and other fields.

Verification agency filed an application in related fields, at the national and municipal carbon emissions accounting method of preparation, the initial condition of the pilot enterprises report carbon emissions inventory of greenhouse gas inventories, CDM project validation and verification, validation and verification of voluntary emission reduction projects, ISO14064 Enterprise Verification of greenhouse gases and other work with the results and inspectors over the corresponding field. Verification body can handle multiple areas category.

(Filing for a change) Article X

case name verification agency, legal representative, registered address, the field of verification, verification personnel changed, the change shall be from the date of occurrence of the Development and Reform Commission report to the city within 20 days.

11 (OK verification agencies)

during the city's carbon emissions trading pilot, Municipal Development and Reform Commission in accordance with the relevant regulations and government procurement procedures, verification agency commissioned by the filing of the report on carbon emissions into the quota management units submitted for verification, and According to "Shanghai carbon management pilot scheme" and "Shanghai verification of carbon emissions guidelines" requirement, the commission contract signing and verification mechanism, a clear scope of verification, verification requirements, working hours, verification costs and other matters.

Article 12 (verification required)

verification agencies and inspectors should follow the "Shanghai carbon management pilot scheme" and "Shanghai verification of carbon emissions Guide" requirements, independently and impartially carry out the verification of carbon emissions, to ensure the verification the result is true and accurate, verifiable data acquisition complete, verifiable work is completed on time, the verification process standards, and trade secrets are bears and carbon emissions data verification unit confidentiality obligations.

Article XIII (inspectors Management)

inspectors to carry out verification work in front of the Municipal Development and Reform Commission should accept the organization's professional training, to obtain a work permit verification of carbon emissions. Verification work permit inspectors to conduct on-site verification is working credentials. Inspectors may not be employed in two or more of the verification agency.

When organizing training and Reform Commission issued a work permit verification and does not charge any fees the city development.

Article XIV (supervision and treatment)

Municipal Development and Reform Commission, through on-site inspection, occasional spot checks and other ways to implement dynamic management of the verification mechanism, adjust the verification agency directory.

The presence of the following acts verification agency, municipal development and reform commission to make corrections; circumstances are serious, cancel its registration eligibility within three years of its filing applications will not be accepted, and violations recorded in the city's public credit information records.

- (A) the record items is changed, does not report within the specified time;
- (b) Failing to verification reports;
- (c) violation of the provisions of the verification rules, technical standards or procedural requirements;
- (d) engage and verification work conflict of interest activities;
- (e) providing false, inaccurate verification reports;
- (vi) verification report significant errors;
- (seven) unauthorized use or release of information is a trade secret and carbon emissions units of verification;
- (h) the use of verification seek improper interests.

Verification agency has the second paragraph (e) above, while in accordance with the "Shanghai carbon management pilot scheme," the provisions of Article 41 (f) above, and the acts listed in subsection (g) of one of the Municipal Development and Reform Commission ordered to make corrections and impose a 30,000 yuan more than 100,000 yuan fine.

15 (explain)

this approach by the Shanghai Municipal Development and Reform Commission is responsible for interpretation.

16 (implementation time)

this approach since the date of promulgation, valid for three years.

[Recommended] [correcting]

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